

Challenges in Developing Models Describing Complex Soil Systems

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OUTLINE

- How Complex Should Models Be?
- The Use of Complex Models
- Selected HYDRUS-Based Models (e.g., HP1, colloid transport)
- Models Verification and Validation (model inter-comparisons; benchmarking)
- Documentation of Complex Models (technical and user manuals, online help, source code)
- Danger (misuse) of Complex Models

How Complex Should Models Be?



Albert Einstein

"Everything should be made as simple as possible, but no simpler." or "Make things as simple as possible, but not simpler."

Adapted by modelers as:

"Models should be as simple as possible, but no simpler."

The Use of Complex Models

Is there demand for complex models?

- My experience is that there is not.
- There are frequent calls for more complex models
- However, once new processes are included in existing models they are often ignored by model users
- While we have hundreds (or even thousands) of users for the basic standard HYDRUS (MODFLOW, PHREEQC) models, we have very few users for specialized modules (e.g., UnsatChem, HP1/2/3, CRide, DualPerm).

The Use of Simpler Models



The Use of Simpler Models

Web of Knowledge

HYDRUS



The Use of Complex Models



SOILCO2 (Carbon Dioxide Transport and Production Model)



The Use of Complex Models

CW2D (Wetland Module)



HP1 (Coupled HYDRUS + PHREEQC)

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The Use of Complex Models

- Quantitative mechanistic models that consider basic physical, mechanical, chemical, and biological processes have the potential to be powerful tools to integrate our understanding of complex soil systems.
- The soil science community has often called for models that would include a large number of these diverse processes.
- However, once attempts have been made to develop such models, the response from the community has not always been overwhelming:
 - these models are consequently highly complex
 - requiring a large number of parameters (not all of which can be easily (or at all) measured and/or identified, and which are often associated with large uncertainties),
 - requiring from their users deep knowledge of all/most of these implemented physical, mechanical, chemical and biological processes.
- Real, or perceived, complexity of these models then discourages users from using them (even for relatively simple applications, for which they would be perfectly adequate).
- It is virtually impossible to verify these types of models analytically (or validate them), raising doubts about their applicability.

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Why to Develop Complex Models?

Environmental Problems:



Advanced (complex) mathematical/numerical models are needed to analyse complex environmental problems involving water flow and nutrient/contaminant transport, as well as many biogeochemical reactions in soils

How to Develop Complex Models?

HP1/2/3 models for complex environmental problems:





HYDRUS (1D/2D/3D)

Software for Simulating Water Flow and Solute Transport in One/Two/Three -**Dimensional Variably-Saturated Soils Using Numerical Solutions**

- thousands of users around the world
- thousands of applications published
- used by scientists, students, and/or practicing professionals

HP1/2/3 (HYDRUS+PHREEQC)

Simulating water flow, transport and biogeochemical reactions in environmental soil quality problems

Gas phase



Biogeochemical model

PHREEQC-2.4

Flow and transport model HYDRUS-1D 4.0 HYDRUS (2D/3D) 2.x

HP1/2/3 (HYDRUS+PHREEQC)

HYDRUS-1D or HYDRUS (2D/3D):

- Variably-Saturated Water Flow
- **Solute Transport**
- ♦ Heat Transport
- **Gas Transport** ٠
- ♦ Root Water Uptake

PHREEOC [Parkhurst and Appelo, 1999]:

Available Chemical Reactions:

- Aqueous Complexation
- **Redox Reactions**
- ♦ Ion Exchange (Gains-Thomas)
- Surface Complexation (diffuse double-layer model and non-٠ electrostatic surface complexation model)
- **Precipitation/Dissolution** ٠
- **Chemical Kinetics**
- **Biological Reactions**



Aqueous phase

Uniform Flow and Transport Model in HP1

Uniform Flow and MIM Transport in HP1



Three phase system

Aqueous, solid and gas phase

Two liquid-phase domains Mobile / Immobile

Transport

Water flow Heat transport Advection-dispersion in water Diffusion in gas phase Solute exchange Γ_i

Homogeneous sink/source terms SRoot water uptake $S_{r,w}$ Solute root water uptake $S_{r,s,i}$ Degradation/decay/transformation $S_{c,i}$

Heterogeneous mass exchange Γ Aqueous – solid phase Γ_{ws} (in both mobile/immobile domains) Aqueous – air phase Γ_{wg}

Dual-Porosity Water Flow and Solute Transport in HP1



Three phase system

Aqueous, solid and gas phase

Two domains

Mobile / Immobile

Transport

Water flow

Heat transport

Advection-dispersion in water

Diffusion in gas phase

Water exchange $arGamma_{
m w}$

Solute exchange Γ_i

Homogeneous sink/source terms SRoot water uptake $S_{r,w}$ Solute root water uptake $S_{r,s,i}$ Degradation/decay/transformation $S_{r,i}$

Heterogeneous mass exchange Γ Aqueous – solid phase Γ_{ws} (in both mobile/immobile domains) Aqueous – air phase Γ_{wa}

HYDRUS GUI for HP1/2/3



HYDRUS (2D/3D) GUI for HP2/3

st of Pages	Page 2 of 5 - Definitions of Solution Compositions	ОК
Additions to Themodynamic Database Additions Compositions Compositions Compositions Compositions Compositions Compositions Compositions Compositions Solutions Soluti	Whis block will not be overritten by BP1 #Definition of the solution compositions - initial and boundary #default solution - media to be modified! Solution 1501 Initial condition -units mol/kpw pf 7 charge C 0.1 0(0) 1 02(g) -0.68 Solution 3001 Boundary solution -units mol/kpw pf 7 charge N 4.8 C 1 4.5 S (6) 16 C 1 5 O(0) 1 02(g) -0.68	Cancel Heb Undo Redo
⊕- Database ⊕- Advanced ⊕- Miscellaneous		

Four text editors to define the geochemical model, required output, and solution compositions are fully incorporated into the GUI.

Transport and Cation Exchange Heavy Metals (HP1 Application)



U-Transport in Agricultural Field Soils (HP1 Application)



Feedback of Geochemical Changes on Transport Properties

- ♦ HP1 allows dynamic updates of
 - porosity
 - soil hydraulic properties
 - tortuosity in the aqueous and gaseous phase,
 - dispersion,
 - heat conductivity,
 - heat dispersivity
- Users have great flexibility in implementing any relationships via **BASIC-functions** in an input file

Chemical Degradation of Concrete (HP1 Application)

- Chemical degradation of concrete in contact with soil water
- Disposal site with a low-level radioactive waste





Concrete is multi-scale porous medium

Cement Hydrates:

portlandite (Port), monocarboaluminate (Mc), strätlingite (Strat), calcite (Cal), OH-hydrotalcite (Ht), CO3hydrotalcite (Htc), the ideal solid solution between jennite (Jen) and tobermorite (Tob), and the ideal solid solution between ettringite (Ett) and tricarboaluminate (Tca)

• Model for Cement Phase (ϕ_p) :

General effective medium homogenization scheme (Oh and Yang, 2004) (consist of capillary pores (ϕ_{cp}), gel pores (ϕ_{gg}) incorporated in calcium-silicate hydrates (CSH), solid parts of CSH (ϕ_{csh}), and other cement hydrates (ϕ_{ch}) (mainly portlandite)

- Updated Transport Properties:
 - Porosity
 - Tortuosity
 - Permeability (Wissmeier and Barry, 2009)

Coupled Reactive Transport Model



Mathematical Model Link Between Different Processes



Chemical Degradation of Concrete (HP1 Application)



Uranium Transport from a Mill Tailing Pile



HP1/2 Examples

- Transport of Heavy Metals (Zn²⁺, Pb²⁺, and Cd²⁺) subject to a multiple pH-dependent Cation Exchange
- Transport and mineral dissolution of Amorphous SiO₂ and Gibbsite
- Infiltration of a Hyperalkaline Solution in a clay sample (kinetic precipitation-dissolution of kaolinite, illite, quartz, calcite, dolomite, gypsum, hydrotalcite, and sepiolite)
- Kinetic biodegradation of NTA (biomass, cobalt)
- Long-term Uranium transport following mineral phosphorus fertilization (pH-dependent surface complexation and cation exchange)
- Transport of Explosives, such as TNT and RDX
- Property Changes (porosity/conductivity) due to precipitation/ dissolution reactions

Colloid, Virus, and Bacteria Transport



Particle Transport in Hydrus

$$\frac{\partial \theta_w C_c}{\partial t} + \rho \frac{\partial S_c}{\partial t} + \frac{\partial A_{aw} \Gamma_c}{\partial t} = \frac{\partial}{\partial x} \left(\theta_w D_c \frac{\partial C_c}{\partial x} \right) - \frac{\partial q_c C_c}{\partial x} + K$$

Colloid mass-transfer between the aqueous and solid phases:

$$\rho \frac{\partial S_c}{\partial t} = \rho \frac{\partial S_c^{str}}{\partial t} + \rho \frac{\partial S_c^{att}}{\partial t} = \theta_w \psi_s^{str} k_{str} C_c + (\theta_w \psi_s k_{ac} C_c - \rho k_{dc} S_c) - R_{sc}$$

Colloid mass-transfer between the aqueous phase and the air-water interface:

$$\frac{\partial A_{aw}\Gamma_c}{\partial t} = \theta_w \psi_{aca} k_{aca} C_c - A_{aw} k_{dca} \Gamma_c - R_{ac}$$

- C_c colloid concentration in the aqueous phase [nL⁻³]
- S_c colloid concentrations adsorbed to the solid phase [nM⁻¹]
- S_c^{str} solid-phase concentrations of strained colloids [nM-1]
- S_c^{att} solid-phase concentrations of attached colloids [nM⁻¹] T_c colloid concentrations adsorbed to the air-water interface
- ρ_{c} content atoms absorbed to the an-water interface $[nL^{2}]$ θ_{v} volumetric water content accessible to colloids $[L^{3}L^{3}]$ (due
- θ_{w} volumetric water content accessible to colloids [L³L³] (due to ion or size exclusion, θ_{w} may be smaller than the total volumetric water content θ)
- *D_c* dispersion coefficient for colloids [L²T⁻¹]
- q_c volumetric water flux density for colloids [LT⁻¹]
- ρ bulk density [ML-3]

- A_{av} air-water interfacial area per unit volume [L²L³] R_i various chemical and biological reactions [nL³T¹]
- k_{str} first-order straining coefficient [T⁻¹]
- k_{ac} first-order colloid attachment coefficient [T⁻¹]
- k_{dc}^{T} first-order colloid detachment coefficient [T¹]
- dimensionless colloid retention function [-] dimensionless colloid retention function for the air-
- water interface (-) first-order colloid attachment coefficient to the air-
- water interface [T⁻¹] inst-order colloid detachment coefficient from the air
- f_{dca} first-order colloid detachment coefficient from the airwater interface $[T^{1}]$

Why is colloid release different under transient from steady-state conditions?



- Colloid retention and release (at/from SWI) are controlled by forces and torques
- Release is a diffusion controlled process under steady-state conditions
- Transients conditions alter the adhesive and/or hydrodynamic forces
- Imbibition: AWI is destroyed (colloids are released to the water phase)
- Draining: Colloids are removed from SWI to AWI and the aqueous phase

Effects of Imbibition and Draining on Colloids

- Imbibition: AWI is destroyed (colloids are released to the water phase)
- Draining: Colloids are removed from SWI to AWI and the aqueous phase

$$E_{aw} = \frac{\partial A_{aw} \Gamma}{\partial t} = \theta k_{aaw} \psi_a A_{aw} c - A_{aw} k_{daw} \Gamma - E_{ra} + f_{aw} E_{swa}$$
$$E_{ra} = \Gamma \frac{\partial A_{aw}}{\partial t} H_o \left(\frac{\partial \theta}{\partial t}\right) = \Gamma \frac{d A_{aw}}{d\theta} \frac{\partial \theta}{\partial t} H_o \left(\frac{\partial \theta}{\partial t}\right)$$
$$E_{swa} = \rho_b f_{eq} \frac{S_i}{A_s} \frac{d A_{aw}}{d\theta} \frac{\partial \theta}{\partial t} H_o \left(-\frac{\partial \theta}{\partial t}\right) H_o \left(d_c - w_f\right)$$

 E_{ra} - destruction of air-water interface during wetting

- E_{swa} colloids removed from the solid phase due to drainage
- f_{aw} a fraction of E_{swa} that is transferred to the air-water interface

Bradford et al. (2014)

Imbibition: Release of Microbes from AWI



- Cells at the AWI or triple point are released during imbibition due to expansion of water films and destruction of AWI.
- The amount of D21g release is highly dependent on the initial amount and distribution of cells.
 Bradford et al. (2014)

Cycles of Drainage and Imbibition

- Multiple cycles of drainage and imbibition are needed to release D21g from the SWI.
- Release depends on the initial amount of retention on the SWI and the saturation history.



Colloid Release under Transient Chemical Conditions IS=10 mM IS=50 mM IS=100 mM Spherica Spherica Spherical Collecto Collecto Collecto A. Spherical Spherica Spherica Collecto Collecto Collecto $\rho_b \frac{\partial S_{eq}}{\partial t} = E_{IS} = -\rho_b F_{eq} \frac{S_i}{A_{ic}} \left| \frac{dA_f}{dC_{IS}} \frac{\partial C_{IS}}{\partial t} \right| H_o \left(-\frac{\partial C_{IS}}{\partial t} \right)$

Values of A_f or S_{max} (denoted in red) change with the physicochemical conditions
The amount of release is related to changes in A_f or S_{max}

Bradford et al. (2014)



Colloid-Facilitated Solute Transport



Particle-Facilitated Solute Transport

Pang et al. [2005]: Bacteria act as carriers for heavy metals in gravel aquifers







Since bacteria may be excluded from small pores, they move through interconnected larger pores and cracks where water moves quicker.

Provide a vehicle for rapid transport of less mobile contaminants.

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Models Verification

- Model Verification: is the process of confirming that the numerical model is correctly implemented with respect to the conceptual (or mathematical) model. During verification the model is tested to find and fix errors in the implementation of the model. The objective of model verification is to ensures that the implementation of the model (i.e., governing equations) is correct.
- Verification of a numerical code consists of showing that the results generated by the model for simpler problems are consistent with available analytical solutions.



Models Verification

- Available analytical solutions are often limited to idealized transport domains, homogeneous and isotropic media, and uniform initial and constant boundary conditions.
- The very reason for developing numerical models is to go beyond the range of available analytical solutions, i.e., to allow irregular transport domains, non-homogeneous and anisotropic media, variable boundary conditions, and nonlinear processes, i.e. to use them for situations or conditions for which they can not be directly verified.
- Verification in such conditions is often accomplished using approximate tests of having internal consistency and accuracy, such as:
 - mass conservation
 - global mass-balance errors
 - insensitivity to changes in mesh sizes and time steps
 - insensitivity to changes in units

Models Verification (Benchmarking)

- Alternatively, one can show that the results generated by the model are the same, or similar, as results generated with other numerical codes. The latter procedure is often also called *Benchmarking*.
- Code Inter-Comparison is then likely the most suitable method to assess code capabilities and model performance. However, this requires existence of multiple models of similar/overlapping capabilities, which may not always exist.

Benchmarking Studies (Scanlon et al., 2002)

Scanlon et al. (WRR, 2002) compared water balance simulation results from seven different codes (HELP, HYDRUS-1D, SHAW, SoilCover, SWIM, UNSAT-H, and VS2DTI) using three-year water balance monitoring data from non-vegetated engineered covers (3 m deep) in warm (Texas) and cold (Idaho) desert regions.



Time series of cumulative evaporation for the Texas site. The main outliers are VS2DTI with daily precipitation input (V(d)), HELP (H), and SoilCover with hourly precipitation input (SC(h)).



Time series of daily precipitation and measured and simulated drainage at the Idaho Site. Drainage curves were restarted on 1 October each year. The main outliers are HELP (H) for the first few months, SHAW (SH) for 1999, and VS2DTI (V) for 1999 and 2000.

Benchmarking Studies (Vanderborght et al., 2005)

- Vanderborght et al. (VZJ, 2005) developed and used a set of analytical benchmarks (of different complexity) to test numerical models (HYDRUS-1D, MACRO, MARTHE, SWAP, and WAVE) of flow and transport in soils.
- Analytical Solutions:
 - Kirchhoff Transform (water flow; Darcy e.)
 - Laplace Transform (solute transport; CDE)
 - Boltzmann Transform (water flow; Richards e.)
 - Traveling Wave Solution (water flow and solute transport)
- Scenarios:
 - Steady-state flux in layered profile
 - Steady-state evaporation from a water table
 - Infiltration in an initially dry soil
 - Transient evaporation from a soil profile
 - Steady-state linear solute transport in homogeneous soil profile
 - Steady-state nonlinear solute transport in homogeneous soil profile
 - Steady-state nonequilibrium linear solute transport with flow interruption
 - Steady-state linear solute transport in a dual-porosity medium

Benchmarking Studies (Vanderborght et al., 2005)

Vanderborght et al. (VZJ, 2005)



Evaporation rate, E_{act} , from initially wet (a) sandy, (b, c) loamy, and (d) clayey soil profiles. Dashed lines are simulated E_{act} using a spatial discretization of 1 cm, full lines using a discretization of 0.25 cm. (Black line is analytical benchmark; R^2 is calculated for simulations with a discretization of 0.25 cm.)

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Simulated cumulative drainage at the 2-m depth (full lines) and cumulative net infiltration (precipitation - actual evaporation) (dashed lines) in (a) sandy, (b) loamy, and (c) clayey soil profiles. (Full black line is the cumulative precipitation)

Benchmarking Studies (Vanderborght et al., 2005)

Vanderborght et al. (VZJ, 2005)



Simulated Solute Fluxes at the 2-m depth in (a) sandy, (b) loamy, and (c) clayey soil profiles for climatic boundary conditions.

Benchmarking Studies (Reactive Transport Models)

- A set of well-described benchmark problems for complex reactive transport numerical models was developed in the special issue of *Computational Geosciences* (CrunchFlow, HP1, MIN3P, PFlotran, and TOUGHREACT) (e.g., Steefel et al., 2015; Xie et al., 2015).
 - kinetic dissolution
 - clogging in a simple (1D) geochemical system
 - clogging in a complex (2D heterogeneous) geochemical system

Steefel, C., S. Yabusaki, and U. Mayer, Special Volume on Subsurface Environmental Simulation Benchmarks, *Computational Geosciences*, in press, 2015.

Steefel, C. I., C. A. J. Appelo, B. Arora, D. Jacques¹⁴, T. Kalbacher, O. Kolditz, V. Lagneau, P. C. Lichtner, K. U. Mayer, J. C. L. Meeussen, S. Molins, D. Moulton, H. Shao, J. Šimůnek, N. Spycher, S. B. Yabusaki, and G. T. Yeh, Reactive transport codes for subsurface environmental simulation, *Computational Geosciences*, doi:10.1007/s10596-014-9443-x, in press, 2015.

Xie, M., K. U. Mayer, F. Claret, P. Alt-Epping, D. Jacques, C. Steefel, C. Chiaberge, and J. Šimůnek, Implementation and evaluation of permeability-porosity and tortuosity-porosity relationships linked to mineral dissolution-precipitation, *Computational Geosciences*, in press, 2015.

Benchmarking Studies (Reactive Transport Models)



Profiles (B2) of porosity, hydraulic conductivity, and volume fraction of gypsum and calcite, h (d) at 10, 100, and 120 years by CrunchFlow, HP1, MIN3P, PFlotran, and TOUGHREACT.

Benchmarking Studies (Reactive Transport Models)



Hydraulic conductivity initial distribution, and distribution of porosity simulated by MIN3P, PFlotran, CrunchFlow, and TOUGHREACT at 300 years.

Benchmarking Studies (Focus Scenarios)

- FOCUS Scenarios: standardized scenario's have been developed for 9 locations and approximately 14 crops per location.
- Scenario's are a combination of crop, location, the long-term application schedule (i.e. annual, biennial or triennial applications) and agronomic parameters (particularly irrigation data).
- Scenarios collectively represent agriculture across Europe for the purpose of Tier 1 EU-level assessment of leaching potential.
- In the initial assessments of pesticide registration, models are used to get a first indication of the leaching potential of a pesticide.
- ◆ Models: PEARL, PELMO, PRZM (and Macro for one location)
- FOCUS: Forum for International Co-ordination of pesticide fate models and their Use (FOCUS).



Benchmarking Studies (Focus Scenarios)



Benchmarking Studies (Focus Scenarios)



Additional Benchmarking Studies

- Oster et al. (AWM, 2012) compared the simulated crop yields grown under production practices and (transient) conditions (involving pressure head and osmotic stresses) in the western San Joaquin Valley of California using the ENVIRO-GRO, HYDRUS-1D, SALTMED, SWAP, and UNSATCHEM models.
- Hanson et al. (2004) evaluated 13 models varying in their spatial, mechanistic, and temporal complexity for their ability to capture intraand inter-annual components of the water and carbon cycle for an upland, oak-dominated forest of eastern Tennessee.
- Rosenzweig et al. (2013) described the Agricultural Model Intercomparison and Improvement Project (AgMIP), which is a major international effort linking the climate, crop, and economic modeling communities with cutting-edge information technology to produce improved crop and economic models and the next generation of climate impact projections for the agricultural sector.
- WCRP (World Climate Research Programme) Working Group on Coupled Modeling catalogues a large number of Model Intercomparison Projects (MIPs) related to various climate related models.

Model Validation - Definitions

Narasimhan (1987):

- Model Verification is related to the accuracy of the invoked numerical solution schemes and the coding of a model, and
- Model Validation to the inherent capability (or the degree of validity) of a model in describing a set of processes (in our case subsurface flow and transport processes).
- International Atomic Energy Agency: a validated model gives 'a good representation of the actual processes occurring in a real system' (IAEA, 1982)
- U.S. Department of Energy: a validated model 'reflects the behavior of the real world' (US DOE, 1986)
- OECD/NEA: 'validation is a process of obtaining assurance that a model is a correct representation of the process or system for which it is intended' (OECD/NEA, 1990)

International Validation Projects

- INTRACOIN (International Nuclide Transport Code Intercomparison Study, SNPI, 1986)
- INTRAVAL (International Project to Study Validation of Geosphere/Transport Models, SNPI, 1987)
- HYDROCOIN (Hydrologic Code Intercomparison Study, OECD/NEA, 1990)
- Advances in Water Resources two special issues to the topic of 'Validation of geo-hydrological models' (Hassanizadeh & Carrera, 1992)

Validation of Geo-Hydrological Models

- Konikow & Bredehoeft (1992): Ground-water models cannot be validated. Since groundwater models are embodiment of scientific hypothesis they cannot be proven or validated, similarly as any scientific hypothesis or theory, but only tested and invalidated. The terms validation and verification are misleading and their use in groundwater science should be abandoned in favor of more meaningful model-assessment descriptors.
- de Marsily et al. (1992): We do not validate our models, but we try to show that they are not invalidated by the data!
- Anderson & Woessner (1992): the issue of model validation is mainly a regulatory one, not a scientific one. A model can never be proven valid from a scientific standpoint because our understanding of a system will always be incomplete.
- Oreskes et al. (1994): Verification and validation of numerical models of natural systems is impossible since such systems are never closed and model results are always non-unique.

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Documentation of Complex Models

Important Factors for Acceptance of Complex Models:

- Detailed description of all processes in Technical and User Manuals
 HYDRUS-1D (342 pages)
 - HYDRUS (2D/3D) (260 pp. Technical manual, 301 pp. User manual, over 1000 pages of online help, + documentation of modules)
 SWAP (284 pages)
- Availability of the source code
- Availability of examples/tutorials
- Verification/validation
- Training (short courses) (Hydrus short courses, currently annually in Europe (Prague), US (Golden, Colorado), China (Beijing), and Brazil, and semiannually in Israel (Sede Boqer) and Australia (Adelaide))
- Numerical robustness
- **•** ...

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Danger (misuse) of Complex Models



By developing and making available powerful numerical models, we are providing people with tools, which they are sometimes applying without fully understanding the theories underlying these tools and in conditions, for which they are not always appropriate.

Conclusions

- It is a challenge, not only to develop complex models describing complex soil systems, but also to persuade the soil science community in using them.
- As a result, complex quantitative mechanistic models are still an underutilized tool in soil science research.